

05-29-01

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05/25/01

1c960 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket
No.

HME/8134.003

First Inventor or Application Identifier

James W. Whittaker

Title

Expression and Purification of Galactose Oxidase

Express Mail Label No.

EL744772299US

PTO
05/25/01

05/25/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

5. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification

Total

15

pages

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Federally Sponsored Research
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- a. ☐ Computer readable copy

- b. ☐ Paper copy (identical to computer copy)

- c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 USC 113)

[Total Pages

2

4. Oath or Declaration

[Total Pages

2

- a. ☐ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 16 completed)

- i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR §§1.53(d)(2) and 1.33(b)

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))

8. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney
when there is an assignee

9. ☐ English translation document (if applicable)

10. ☐ Information Disclosure Statement (IDS) /PTO 1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

13. ☒ *Small Entity Statements ☐ Statement filed in prior application.
(PTO/sb/09-12) Status still proper and desired.

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☒ Other: Credit Card Payment Form

* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group No./Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert customer number or attach bar code label here)

or ☒ Correspondence address below

Name Howard M. Eisenberg, Esq.

Address 601 S.W. Second Avenue, Suite 1600

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Name (print type) Howard M. Eisenberg

Registration No. (Attorney/Agent)

36,789

Signature

Date

May 25, 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

Application Number
Filing Date May 25, 2001
First Named Inventor James W. Whittaker
Examiner Name
Group/ Art Unit

TOTAL AMOUNT OF PAYMENT \$435

Attorney Docket No. HME/8134.003

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 50-1773

Deposit Account Name Howard Eisenberg, Esq.

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☒ Payment Enclosed

☐ Check ☒ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$355

2. EXTRA CLAIM FEES

Total Claims	Indep. Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
15	5		-20** = 0	9	0
			-3** = 2	40	80
					0

*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$435

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 C.F.R. 1.129(b))	
169	900	169	900	Request for Expedited Examination of a Design Application	

Other (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0

SUBMITTED BY

Complete (if applicable)

Name (print type) Howard M. Eisenberg Registration No. 36,789 Telephone (503) 227-5631

Signature



Date

May 25, 2001

FORM 09090000

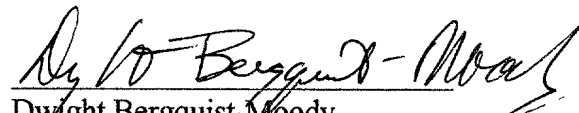
CERTIFICATE OF MAILING

BY "EXPRESS MAIL"

Express Mail No.: EL744772299US

Date of Deposit : May 25, 2001

I hereby certify that the utility patent application attached hereto entitled
"Expression and Purification of Galactose Oxidase," James W. Whittaker and Mei M.
Whittaker, inventors, together with Fee Transmittal form (duplicate), Utility Patent Application
Transmittal form (duplicate), Declaration and Power of Attorney (unexecuted), acknowledgment
postcard, and the required fees, is being deposited with the United States Postal Service "Express
Mail to Addressee" on the date indicated above and is addressed to: Box Patent Application,
Assistant Commissioner for Patents, Washington, D.C. 20231.


Dwight Bergquist-Moody

RECEIVED - DEPT. OF COMMERCE